

SEC Municipal Advisor – IRMA Exemption Notice

The following notice has been prepared and made available by the City of San Mateo ("City"), in light of the Securities and Exchange Commission's (SEC) "Municipal Advisor Rule."

Pursuant to SEC Rule 15Ba1-1(d)(3)(vi)(B), the City will rely on the advice of its registered municipal advisors for any bond issuances or other financial activities undertaken by the City.

Bond issuance-related proposals or any other financial proposal prepared by broker-dealers for presentation to the City are required to be provided to at least one registered municipal advisor.

The City has designated William Euphrat Municipal Finance, Inc. and Kitahata & Company to review copies of bond issuance-related proposals or any other financial proposal prepared by broker-dealers for presentation to the City, to cover the period beginning July 1, 2020 and ending June 30, 2022.

City Independent Registered Municipal Advisor (IRMA) Exemption Contact(s):

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